



Attorney Docket No. UND005  
Client/Matter No. 83208.0008  
Express Mail No. EL700670631US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory Hagan Moulton and Stephen B.  
Whitehill, Ph.D.

Serial No. 09/777,002

Filed: February 5, 2001

For: SYSTEM AND METHOD FOR  
INTELLIGENT, GLOBALLY  
DISTRIBUTED NETWORK STORAGE

Group Art Unit: 2185

Examiner:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed March 14, 2001, submitted herewith is a signed Declaration for Patent Application; a check in the amount of \$494 to cover the filing fee, a check in the amount of \$65 to cover the surcharge for a small entity; and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Dated: 11 May, 2001

William J. Kubida, Reg. No. 29,664

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(719) 448-5909 Tel

(303) 899-7333 Fax



05-15-01

*Handwritten signature: #Sector*

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INTELLIGENT, GLOBALLY  
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Group Art Unit: 2185

Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of Application Filing Date  
Granted, form and surcharge payment of \$65 for small entity;
3. Executed Declaration;
4. Fee Transmittal with check in the amount of \$494;
5. Recordation Form Cover Sheet PTO 1595 with Executed Assignment  
and Recording Fee of \$40.00;
6. Certificate of Mailing By Express Mail;
7. Return postcard;

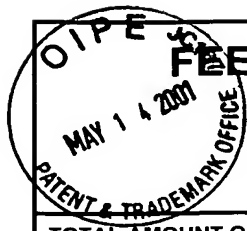
relating to the above application, were deposited as "Express Mail", Mailing Label  
No. EL700670631US with the United States Postal Service, addressed to Box Missing Parts,  
Assistant Commissioner for Patents, Washington, D.C., 20231, 14 May, 2001.

14 May 2001  
Date

11 May 2001  
Date

*Handwritten signature of William J. Kubida*  
\_\_\_\_\_  
Mailer

William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax



# FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT (\$) (\$)**599.00**

## Complete if Known

Application Number	
Filing Date	February 5, 2001
First Named Inventor	Gregory Hagan Moulton
Examiner Name	
Group / Art Unit	2185
Attorney Docket No.	UND005

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

50-1123

Deposit  
Account  
Name

Hogan & Hartson L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40.00
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)**105.00**

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	355.00
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$)**355.00**

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
31	-20**= 11	X 9	= 99	
Independent Claims	4	-3**= 1	X 40	= 40
Multiple Dependent			= 0	

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)**130.00**

SUBMITTED BY Complete (if applicable)

Name (Print/Type) William J. Kubisa

Registration No. (Attorney/Agent)

29,664

Telephone

(719) 448-5909

Signature

Date

11 May 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,002	02/05/2001	Gregory Hagan Moulton	UNDOO005

CONFIRMATION NO. 5465

## FORMALITIES LETTER



\*OC000000005859755\*

William J. Kubida, Esq.  
Hogan & Hartson, LLP  
1200 17th Street  
Suite 1500  
Denver, CO 80202

Date Mailed: 03/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$139.
  - \$99 for 11 total claims over 20.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 559.

*A copy of this notice **MUST** be returned with the reply.*

05/17/2001 6TEFFERA 00000045 09777002

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	99.00 OP
04 FC:202	40.00 OP

PART 1 - ATTORNEY/APPLICANT COPY